



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/998,904	MAR 25 2002 11/05/2001	Harold R. Garner	119929-1037

CONFIRMATION NO. 4132

## FORMALITIES LETTER



\*OC000000007360817\*

Thomas C. Wright  
Gardere Wynne Sewell LLP  
3000 Thanksgiving Tower, Suite 3000  
1601 Elm Street  
Dallas, TX 75201-4767

Date Mailed: 01/25/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

03/26/2002 HNDGR1 00000067 09998904

FILED UNDER 37 CFR 1.53(b)

01 FC:201  
02 FC:205  
03 FC:202  
04 FC:203370.00 OP  
65.00 OP  
462.00 OP  
1737.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$2199.
  - \$1737 for 193 total claims over 20.
  - \$462 for 11 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2634.
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

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*A copy of this notice MUST be returned with the reply.*



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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

**GARDERE**

attorneys and counselors in law [www.gardere.com](http://www.gardere.com)

Writer's Direct Dial Number:  
214-999-4559  
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March 13, 2002

**BOX: MISSING PARTS**

Commissioner for Patents  
Washington, D.C. 20231

Re: Inventors: Harold R. Garner, Monica M. Horvath, John W. Fondon, III  
and Alexander (NMI) Pertsemlidis  
UTSD:0822 Garner, Horvath, Fondon and Pertsemlidis  
Non-Provisional Patent Application Entitled: PREDICTION OF  
DISEASE-CAUSING ALLELES FROM SEQUENCE CONTEXT  
Serial No.: 09/998,904  
Filed: November 30, 2001  
Our File No.: 119929-1037

Dear Sir:

Enclosed for filing please find the following items relating to the above-identified:

1. Response to Notice to File Missing Parts of Non-Provisional Application (2 pp.)
2. Signed Declaration of Harold R. Garner, Monica M. Horvath, John W. Fondon, III and Alexander (NMI) Pertsemlidis (5 pp.);
3. Part 2 - Copy of Notice to File Missing Parts of Non-Provisional Application (2 p.);
4. Our firm's check in the amount of \$2,634.00; and
5. Return postcard.

If you have any questions or comments concerning this matter, please call the undersigned at your earliest convenience. Otherwise, please accept the enclosed.

Respectfully submitted,

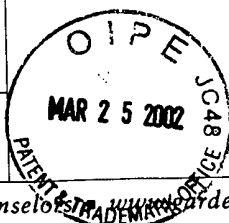
GARDERE WYNNE SEWELL LLP



Edwin S. Flores  
Registration No. 38,453

ESF:llg  
Enclosures

COPY OF PAPERS  
ORIGINALLY FILED



MP  
\$✓

Attorney Docket No.: 119929-1037

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Application: Harold R. Garner, Monica M. Horvath, John W. Fondon, III and  
Alexander (NMI) Pertsemlidis

Serial No. 09/998,904

Filed: November 30, 2001

For: PREDICTION OF DISEASE-CAUSING ALLELES FROM SEQUENCE  
CONTEXT

**Certificate of Mailing under 37 CFR 1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service, as First Class mail, in an envelope, with sufficient postage, addressed to: **BOX: MISSING PARTS**, Commissioner for Patents, Washington, D.C. 20231 on March 13, 2002.

**BOX: MISSING PARTS**  
Commissioner for Patents  
Washington, D.C. 20231

Signature

Linda L. Gibson

Typed or printed name of person signing certificate

Dear Sir:

**RESPONSE TO NOTICE TO FILE  
MISSING PARTS OF APPLICATION**

In response to the Notice to File Missing Parts of Provisional Application mailed, January 25, 2002 a copy of which is returned herewith, Applicants respectfully submit this Response.

**Declaration**

As required by the Notice of Missing Parts of Application, Applicants hereby enclose an executed Declaration.

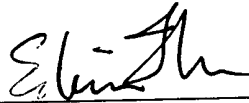
**Required Fees**

Enclosed is a check in the amount of \$2,634.00 to cover the filing fees and surcharge fee.

Conclusion

Applicants respectfully submit that all required parts for the application have now been filed and that no additional fees are required. It is believed that no other fees are necessary. However, if this is incorrect, the Commissioner is hereby authorized to deduct said fees from Deposit Account No. 07-0153.

Respectfully submitted,



Edwin S. Flores  
Reg. No. 38,453

Dated: March 13, 2002

ATTORNEY FOR APPLICANTS

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